Attorney Docket No.: 15162/06020

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of:

Kyu TAKADA, Yuichiro ORI, and

Junii HASHIMURA

For:

OPTICAL PICKUP APPARATUS

U.S. Serial No.:

To Be Assigned

Confirmation No.:

To Be Assigned

Filed:

Concurrently

Group Art Unit:

To Be Assigned

Examiner:

To Be Assigned

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL MAILING LABEL NO.: EL 794575668 US

DATE OF DEPOSIT: JULY 22, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**DERRICK T. GORDON** 

Name of Person Mailing Paper or Fee

JULY 22, 2003

Date of Signature

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants wish to bring the following items to the attention of the Examiner. Copies of each of the documents are enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence.

Attorney Docket No.: 15162/06020

The following document is cited in the specification of the application:

**(1)** Japanese Published Unexamined Patent Application No. (A) 2003-067972 (NEC CORP), published March 7, 2003.

Applicants also wish to bring the following items to the attention of the Examiner:

- (2) Maeda et al., U.S. Patent No. 5,303,221, issued April 12, 1994;
- (3) Komma et al., U.S. Patent No. 5,446,565, issued August 29, 1995;
- (4) Katayama, U.S. Patent No. 5,696,750, issued December 9, 1997;
- (5) Komma et al., U.S. Patent No. 5,815,293, issued September 29, 1998; and
- (6) Katayama, U.S. Patent No. 6,201,780 B1, issued March 13, 2001.

As this Information Disclosure Statement is being filed concurrently with the application, no fee is incurred. However, if it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

Thomas N. Tarnay

Reg. No. 41,341

Attorney for Applicants

TNT:pm SIDLEY AUSTIN BROWN & WOOD LLP 717 N. Harwood, Suite 3400

Dallas, Texas 75201

Direct: (214) 981-3388

(214) 981-3300 Main: Facsimile: (214) 981-3400

July 22, 2003

Substitute for form 1449A/PTO							Complete if Known				
							Application Number To Be Assigned				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT									To Be Assigned Concurrently		
							Filing Date				
						First Inventor		Kyu TAKADA et al.			
						Group Art Unit Examiner Name		To Be Assigned			
								To Be Assigned			
Sheet	1	0			D 4 00 20 200		ney Docket No.	15162/	06020	<del></del>	
·					PATENT		UMENTS	<del> </del>	<del></del>		
Examiner Initials	Cite DOCUMENT D D E		PATENTEE		ISSUE DATE (mm/dd/yy)	CLAS	S SUB CLASS	Filing Date if Appropriate			
	(2)	5,303,221		T	Maeda et al.		04/12/94	369	112		
	(3)	5,446,565			Komma et al.		08/29/95	359	19		
	(4)	5,696,750			Katayama		12/09/97	369	112		
	(5)	5,815,293		1	Komma et al.		09/29/98	359	19		
	(6)	6,201,780 B1			Katayar	na	03/13/01	369	112		
	<del>                                     </del>	<del> </del>		1	<b>-</b>					<u> </u>	
	<b>-</b>	<del>                                     </del>		<del>                                     </del>	<del> </del>			<del> </del>			
<u> </u>	<del> </del>	<del> </del>		-		<del></del> -	<del>-</del>			<del> </del>	
		_	FOI	REIC	GN PATE	NT D	OCUMENTS				
Examiner Initials		F F C E	NUMBER		C O D E	PUB	LICATION DAT	E TRANSLATION Yes No			
	(1)	JP	2003-067972		(A)		03/07/03	ABSTRACT ONLY			
		1				-					
	1			-							
	1	<del>                                     </del>								_	
	1	+		+							
<b> </b>	-										
	<del> </del>	-								-	
			NON PA	TEN	T LITER	ATU	RE DOCUME	NTS			
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published									
Eva-in							Data				
Examine Signatur							Date Considered			•	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.